

## Corporate risks and actions progress

**Report Type:** Risks Report

**Report Author:** Paul Dudley


**Generated on:** 19 December 2016









Rows are sorted by Risk Score




Risk Code & Title	Current Risk rating/score	Direction of risk score (After last review)	Target risk/score	Full risk assessment history (Earliest to latest)	Date risk created/Risk level	Latest review date	Target Date	Risk owner
<b>CR19 IT Service Provision</b>	<b>RED</b>		<b>GREEN</b>		14-Jul-2015	12-Dec-2016	31-Dec-2017	Simon Woods
Chamberlain's	16	No change	4		<b>Corporate</b>			

Action Code & Title	Status Icon	Status	Progress Bar	Action Owner	Latest Review Date	Due Date	Completed Date
CR19b JOINT Network refresh programme.		Completed		Simon Woods	20-Jun-2016	31-Dec-2016	06-Oct-2016
CR19c JOINT End User Device Renewal		In Progress		Simon Woods	12-Dec-2016	31-Dec-2017	
CR19d CoLP Investment in any retained IT infrastructure		Check Progress		Simon Woods	13-Dec-2016	31-Dec-2016	
CR19e Network Transformation Requirements		In Progress		Simon Woods	13-Dec-2016	28-Feb-2017	
CR19f Network Transformation		In Progress		Simon Woods	06-Oct-2016	31-Mar-2018	


Risk Code & Title	Current Risk rating/score	Direction of risk score (After last review)	Target risk/score	Full risk assessment history (Earliest to latest)	Date risk created/Risk level	Latest review date	Target Date	Risk owner
<b>CR20 Road Safety</b>	<b>RED</b>		<b>AMBER</b>		23-Oct-2015	14-Nov-2016	30-Apr-2017	Carolyn Dwyer
Department of the Built Environment	16	No change	6		<b>Corporate</b>			


Action Code & Title	Status Icon	Status	Progress Bar	Action Owner	Latest Review Date	Due Date	Completed Date
CR20a Joint Safer Transport Team		In Progress	<div><div>70%</div></div>	Steve Presland	14-Nov-2016	31-Jan-2017	
CR20b Permanent Bank Junction redesign		In Progress	<div><div>30%</div></div>	Steve Presland	14-Nov-2016	30-Nov-2018	
CR20c Interim Bank Junction redesign		In Progress	<div><div>70%</div></div>	Steve Presland	14-Nov-2016	30-Apr-2017	
CR20d Road Safety Communications Strategy		In Progress	<div><div>95%</div></div>	Steve Presland	14-Nov-2016	31-Jan-2017	
CR20e City Contracts		Completed	<div><div>100%</div></div>	Steve Presland	18-Oct-2016	30-Sep-2016	18-Jul-2016








Risk Code & Title	Current Risk rating/score	Direction of risk score (After last review)	Target risk/score	Full risk assessment history (Earliest to latest)	Date risk created/Risk level	Latest review date	Target Date	Risk owner
<b>CR21 Air Quality</b>	<b>RED</b>		<b>AMBER</b>		07-Oct-2015	12-Dec-2016	31-Dec-2020	Jon Avern
Department of Markets and Consumer Protection	16	No change	6		<b>Corporate</b>			


Action Code & Title	Status Icon	Status	Progress Bar	Action Owner	Latest Review Date	Due Date	Completed Date
CR21 001a Implement policies		Completed	<div><div>100%</div></div>	Jon Avern	06-Oct-2016	31-Aug-2016	06-Sep-2016
CR21 001b Review Air Quality		Completed	<div><div>100%</div></div>	Jon Avern	06-Oct-2016	31-Aug-2016	25-Aug-2016
CR21 001c Become an Exemplar Borough		Completed	<div><div>100%</div></div>	Jon Avern	06-Oct-2016	29-Dec-2017	26-Sep-2016





CR21 001d Develop communications strategy.		In Progress	<div><div style="width: 50%;">50%</div></div>	Jon Avern	12-Dec-2016	31-Dec-2016
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CR21 001e Develop plan		In Progress	<div><div style="width: 30%;">30%</div></div>	Jon Avern	12-Dec-2016	31-Dec-2018
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<b>CR01 Resilience Risk</b>	<b>AMBER</b>		<b>AMBER</b>	<div><div style="width: 50%;"></div></div>	20-Mar-2015	13-Dec-2016	31-Dec-2017	John Barradell
Town Clerk's	12	No change	12	<b>Corporate</b>				



Action Code & Title	Status Icon	Status	Progress Bar	Action Owner	Latest Review Date	Due Date	Completed Date
CR01A Emergency Exercise		Completed	<div><div style="width: 100%;">100%</div></div>	Gary Locker	29-Jan-2016	11-Jun-2015	11-Jun-2015
CR01B Corporate review of Business Continuity planning		Completed	<div><div style="width: 100%;">100%</div></div>	Gary Locker	29-Jan-2016	30-Nov-2015	19-Nov-2015
CR01C Exercise Unified Response		Completed	<div><div style="width: 100%;">100%</div></div>	Gary Locker	17-Jun-2016	01-Jun-2016	01-Apr-2016
CR01D Elimination of single points of failure, resulting in loss of services		Overdue	<div><div style="width: 66%;">66%</div></div>	Gary Locker	13-Dec-2016	01-Dec-2016	
CR01E Corporate Review of Security		In Progress	<div><div style="width: 81%;">81%</div></div>	Gary Locker	13-Dec-2016	31-Mar-2017	
CR01F Exercise Mercapton		Completed	<div><div style="width: 100%;">100%</div></div>	Gary Locker	16-Nov-2016	31-Oct-2016	16-Nov-2016
CR01G Lord Mayor Show Exercise		Completed	<div><div style="width: 100%;">100%</div></div>	Gary Locker	16-Nov-2016	12-Nov-2016	16-Nov-2016






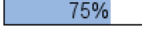
Risk Code & Title	Current Risk rating/score	Direction of risk score (After last review)	Target risk/score	Full risk assessment history (Earliest to latest)	Date risk created/Risk level	Latest review date	Target Date	Risk owner
<b>CR09 Health and Safety Risk</b>	<b>AMBER</b>		<b>AMBER</b>		22-Sep-2014	13-Dec-2016	31-Dec-2017	Chrissie Morgan
Town Clerk's	12	No change	8		<b>Corporate</b>			



Action Code & Title	Status Icon	Status	Progress Bar	Action Owner	Latest Review Date	Due Date	Completed Date
CR09A External Verification		Completed	<div><div>100%</div></div>	Oliver Sanandres	18-Apr-2016	29-Feb-2016	18-Apr-2016
CR09B Compliance Audits		Completed	<div><div>100%</div></div>	Oliver Sanandres	21-Jun-2016	31-Mar-2016	31-Mar-2016
CR09C Compliance Checks 2016-17		In Progress	<div><div>80%</div></div>	Justin Tyas	13-Dec-2016	31-Mar-2017	
CR09D Implementing external verification recommendations		In Progress	<div><div>80%</div></div>	Justin Tyas	02-Dec-2016	31-Mar-2017	




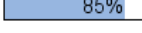

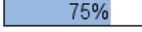
Risk Code & Title	Current Risk rating/score	Direction of risk score (After last review)	Target risk/score	Full risk assessment history (Earliest to latest)	Date risk created/Risk level	Latest review date	Target Date	Risk owner
<b>CR16 Information Security</b>	<b>AMBER</b>		<b>GREEN</b>		22-Sep-2014	13-Dec-2016	31-Dec-2016	Simon Woods
Chamberlain's	12	No change	4		<b>Corporate</b>			



Action Code & Title	Status Icon	Status	Progress Bar	Action Owner	Latest Review Date	Due Date	Completed Date
CR16b Review and strengthen Data Retention, Management and Ownership.		Check Progress	<div><div>60%</div></div>	Christine Brown	13-Dec-2016	31-Dec-2016	
CR16h Online Training for Members		In Progress	<div><div>60%</div></div>	Simon Woods	13-Dec-2016	03-Apr-2017	
CR16i Technical Security Infrastructure		In Progress	<div><div>15%</div></div>	Simon Woods	13-Dec-2016	31-Mar-2017	























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<b>CR02 Loss of Business Support for the City</b>	<b>AMBER</b>		<b>AMBER</b>		22-Sep-2014	13-Dec-2016	31-Dec-2017	John Barradell
Town Clerk's	8	No change	8		<b>Corporate</b>			


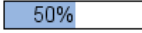




Action Code & Title	Status Icon	Status	Progress Bar	Action Owner	Latest Review Date	Due Date	Completed Date
CR02A Special Representative of the City to the EU - Completed		Completed		Giles French	22-Aug-2016	01-Sep-2015	01-Sep-2015
CR02B Restructure of the team working on financial and professional services		In Progress		Giles French	13-Dec-2016	31-Jan-2017	
CR02C UK Referendum on membership of the EU		In Progress		Damian Nussbaum	13-Dec-2016	31-Mar-2017	



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<b>CR10 Adverse Political Developments</b>	<b>AMBER</b>		<b>AMBER</b>		22-Sep-2014	12-Dec-2016		Paul Double
Remembrancer's	8	No change	8		<b>Corporate</b>			


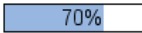



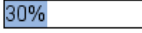
Action Code & Title	Status Icon	Status	Progress Bar	Action Owner	Latest Review Date	Due Date	Completed Date
CR10a monitoring legislation		In Progress		Paul Double	19-Dec-2016	31-Mar-2017	
CR10b Provision of information		In Progress		Paul Double	19-Dec-2016	31-Mar-2017	
CR10c Stakeholder engagement		In Progress		Paul Double	14-Dec-2016	31-Mar-2017	

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<b>CR17 Safeguarding</b>	<b>AMBER</b>		<b>AMBER</b>		22-Sep-2014	16-Dec-2016	31-Mar-2017	Ade Adetosoye
Department of Community & Children's Services	8	No change	8		<b>Corporate</b>			

Action Code & Title	Status Icon	Status	Progress Bar	Action Owner	Latest Review Date	Due Date	Completed Date
CR17b Work with HR to develop training and programmes to support staff		Completed		Chris Pelham	25-Nov-2015	31-Dec-2015	31-Dec-2015
CR17c Safeguarding Awareness Sessions for DCCS Staff		Completed		Chris Pelham	20-Aug-2015	31-Jul-2015	31-Jul-2015
CR17d Raising awareness of Private Fostering, role of Local Authority Designated Officer (LADO)		Completed		Chris Pelham	20-Aug-2015	30-Sep-2015	31-Jul-2015
CR17e Prevent agenda - new guidance		Completed		Chris Pelham	20-Aug-2015	10-Jul-2015	10-Jul-2015
CR17f Review of City of London Safeguarding Policy		Completed		Chris Pelham	18-Jan-2016	31-Dec-2015	31-Dec-2015
CR17g Preparation for Inspection of Children's Services and Ofsted Inspection Framework		Completed		Chris Pelham	18-Apr-2016	31-Mar-2016	31-Mar-2016
CR17h Evaluation of Notice the Signs – awareness raising campaign		Completed		Chris Pelham	25-Nov-2015	31-Oct-2015	25-Nov-2015
CR17i New London wide Adults Safeguarding Procedures agreed		Completed		Chris Pelham	18-Apr-2016	31-Mar-2016	31-Mar-2016
CR17j Promoting role of Local Authority Designated Officer (LADO)		Completed		Chris Pelham	18-Apr-2016	31-Mar-2016	31-Mar-2016
CR17k Review role of Safeguarding Champions		In Progress		Chris Pelham	16-Dec-2016	31-Mar-2017	
CR17l Online Adult Safeguarding Training		In Progress		Chris Pelham	19-Dec-2016	31-Dec-2016	

CR17m Raise Awareness of financial abuse and scams		In Progress		Chris Pelham	19-Dec-2016	31-Mar-2017	
CR17n Raising Awareness of Children Missing Education		Completed		Chris Pelham	15-Oct-2016	30-Sep-2016	06-Oct-2016
CR17o Review of Safeguarding Arrangement in Independent schools within the City of London		Completed		Ade Adetosoye	16-Dec-2016	02-Dec-2016	16-Dec-2016

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<b>CR14 Funding Reduction</b>	<b>AMBER</b>		<b>GREEN</b>		22-Jun-2015	12-Dec-2016	31-Mar-2017	Peter Kane
Chamberlain's	6	No change	4		<b>Corporate</b>			

Action Code & Title	Status Icon	Status	Progress Bar	Action Owner	Latest Review Date	Due Date	Completed Date
CR14b SBR implementation – Departmental Savings and cross-cutting reviews.		In Progress		Caroline Al-Beyerty	06-Oct-2016	31-Mar-2017	
CR14h Develop Efficiency Plan		Overdue		Peter Kane	06-Oct-2016	14-Oct-2016	
CR14i Develop strategy to address projected Police deficits		In Progress		Caroline Al-Beyerty	06-Oct-2016	31-Mar-2017	